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TO: Examiner Michael Hayes  
FIRM: United States Patent and Trademark Office  
FACSIMILE NO.: 703-746-4875  
FROM: Marc T. Morley  
CLIENT CODE: CTLLMM.001CP1

PAGES: 11 (INCLUDING THIS SHEET)

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### MESSAGE:

Per your request, please find attached a copy of the Supplemental Response and Amendment and all accompanying documents, which we filed by mail on May 31, 2002 for application number 09/380,534.

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UTILITY/DESIGN PATENT  
(amend/final amend/appeal)Date: 5/31/02

Date of Action: \_\_\_\_\_

Rec'd in the USPTO on the date stamped hereon via Certificate of Mail:

Docket #: CTLmm.001CP1Applicant: Kundig et al.Title: A METHOD OF INDUCING A CTL RESPONSEApp No.: 09/380,534Filed: September 1, 1999VERIFIED BY: Asst: INDAtty: IND QC: \_\_\_\_\_

- ☒ Transmittal Letter  
☒ Amendment in 7 pgs  
☐ 1 Month Extension Requested  
☐ Information Disclosure Statement; with  
PTO-1449 w/ \_\_\_\_\_ Ref(s)  
☐ Terminal Disclaimer in \_\_\_\_\_ pgs  
☐ Sequence Submission Statement  
☐ Sequence Listing in \_\_\_\_\_ pgs  
☐ \_\_\_\_\_ copies of CRF Containing Seq List  
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- ☐ Req for Drawing Changes/Corrections  
☐ \_\_\_\_\_ sheets of RED-Lined Drawings  
☐ Notice of Appeal in Duplicate  
☐ Appeal Brief in \_\_\_\_\_ pgs in Triplicate  
☒ Request for Oral Hearing  
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## PATENT

Case Docket No. CTIMM.001CPI

Date: May 31, 2002

Page 1

In re application of : Kundig et al.  
 App. No. : 09/380,534  
 Filed : September 1, 1999  
 For : A METHOD OF INDUCING A  
 CTL RESPONSE  
 Examiner : Michael Hayes  
 Art Unit : 3763

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(Date)

Dale C. Hunt, Reg. No. 41,857

UNITED STATES PATENT AND TRADEMARK OFFICE  
 P.O. Box 2327  
 Arlington, VA 22202

Sir:

Transmitted herewith is a Supplemental Response and Amendment in the above-identified application.

(X) An extension of time to respond is not required; a timely response was filed on February 28, 2002. The present paper is a supplemental response only.

The fee has been calculated as shown below:

CLAIMS AS FILED						
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL FEE
Total Claims	71	—	65	= 6 ×	\$9	= \$54
Independent Claims	4	—	4	= 0 ×	\$42	= \$0
If application has been amended to contain multiple dependent claim(s), then add					\$140	= \$0
Time Extension Fee						\$0
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$54

(X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.

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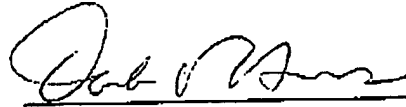
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Case Docket No. CTLIMM.001CP1

Date: May 31, 2002

Page 2

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- (X) Please charge any additional fees, including any fees for additional extension of time, or credit overpayment to Deposit Account No. 11-1410.



Dale C. Hunt

Registration No. 41,857

Attorney of Record

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CTLIMM.001CP1

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kundig et al.  
Appl. No. : 09/380,534  
Filed : September 1, 1999  
For : A METHOD OF INDUCING A  
CTL RESPONSE  
Examiner : Michael Hayes

) Group Art Unit: 3763

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SUPPLEMENTAL RESPONSE AND AMENDMENT

United States Patent and Trademark Office  
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Arlington, VA 22202

Dear Sir:

This is a supplemental response to the Official Action mailed December 1, 2001 (Paper No. 16) for the above-referenced patent application. Applicants wish to thank Examiner Hayes again for the thorough review of the instant application and for the interview on April 23, 2002.

April 23, 2002 Interview Summary

At the interview on Tuesday, April 23, 2002 Examiner Hayes and Applicants' representatives reviewed and discussed the previous Office Action Response submitted on February 28, 2002, the cited references and the patentability of the claims.

## IN THE CLAIMS

Please amend claims 1, 4, 14, 38, 50-51, and 59-60 as set forth below:

- C, 1. (Twice Amended) A method of obtaining a sustained immunological CTL response in a mammal, which method comprises: